

O I P E JC134
JUN 18 2002
Docket No. 216661 US00CONT
P A T E N T & T R A D E M A R K O F F I C E

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Pascal ARNAUD et al.

SERIAL NO: 09/049,927

GAU: 1625

FILED: March 30, 1998

EXAMINER: HUANG

ANHYDROUS COSMETIC OR DERMATOLOGICAL COMPOSITION CONTAINING THE COMBINATION OF A SILICONE OIL AND A WAX MADE FROM AN ETHYLENE HOMOPOLYMER OR COPOLYMER

9 2002 INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,
OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

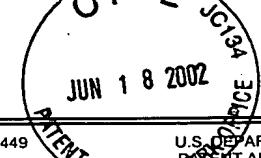
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE U.S. PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 216661US0 CONT	SERIAL NO. 09/049,927		
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Pascal ARNAUD, et al.					
		FILING DATE March 30, 1998		GROUP 1625			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>Sgt</i>	AA	5,427,790	06/27/95	Frische, et al.			
	AB	3,970,577	07/20/76	Glück, et al.			
	AC	4,164,563	08/14/79	Chang			
	AD	4,246,257	01/20/81	Elliott, et al.			
	AE	4,874,868	10/17/89	Bolich, Jr.			
	AF	5,002,762	03/26/91	Bolich, Jr. Raymond E.			
	AG	4,199,599	04/22/80	Klein			
	AH	4,011,251	03/08/77	Tjurin, et al.			
	AI	4,574,082	03/04/86	Tietjen, et al.			
	AJ	4,919,934	04/24/90	Deckner, et al.			
	AK	4,518,509	05/21/85	Newberry			
	AL	3,215,599	11/02/65	Thau, et al.			
	AM	2,628,187	02/10/53	Frohmader, et al.			
<i>Sgt</i>	AN	2,627,938	02/10/53	Frohmader, et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO	
<i>Sgt</i>	AO	1,557,285	12/05/79	Great Britain			
	AP	1,370,699	10/16/74	Great Britain			
	AQ	1,579,934	11/26/80	Great Britain			
	AR	GB 2 028 131 A	03/05/80	Great Britain			
	AS	1,300,223	12/20/72	Great Britain			
	AT	1,373,937	11/13/74	Great Britain			
	AU	1,161,447	08/13/69	Great Britain			
<i>Sgt</i>	AV	EP 0 370 470 B1	05/30/90	Europe			
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
<i>Sgt</i>	AW	Fiche de données de Securite, Dow Coming® 203 Fluid				<i>date not available</i>	
	AX	Paul A. Cadicamo, <u>Strategy for Lipstick Formulation</u> , Makeup Documentary/Formulary, Cosmetics & Toiletries, Vol. 96, April 1981, pp. 55-60					
	AY	Fiche technique No. 22-363D-02, <u>Informations sur le Fluide Dow Corning® 203 Agent de démolage pour les pièces en caoutchouc, en plastique, et les métaux coulés sous pression</u> , January 1989					
<i>Sgt</i>	AZ	A.C. Dweck, <u>Lipstick Moulding Techniques -- Comparison and Statistical Analysis</u> , Makeup Documentary/Formulary, Vol. 96, April 1981, pp. 61-72				<i>date not available</i>	
Examiner	<i>S. Thuc</i>				Date Considered		<i>9/1/2002</i>

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

JUN 18 2002

SHEET 2 OF 23

Form PTO 1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 216661US0 CONT		SERIAL NO. 09/049,927			
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		LIST OF REFERENCES CITED BY APPLICANT					
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
SA	AA	3,919,357	11/11/75	Keegan, et al.			
	AB	3,645,904	02/29/72	Beach			
	AC	3,196,079	07/20/65	Blaustein			
	AD	5,302,380	04/12/94	Castrogiovanni, et al.			
	AE	5,143,723	09/01/92	Calvo, et al.			
	AF	4,834,972	05/30/89	Chang			
	AG	2,992,201	07/11/61	Gober, Jr.			
	AH	4,200,561	04/29/80	Chang			
	AI	5,085,855	02/04/92	Shore			
	AJ	5,039,518	08/13/91	Barone, et al.			
	AK	5,648,066	07/15/97	Stepniewski			
	AL	4,990,561	02/1991	Yoshioka			
	AM	5,080,889	01/1992	Katada, et al.			
	AN	5,102,656	04/1992	Kasat			
	FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO	
SA	AO	EP 0 445 342 B1	09/11/91	Europe			
	AP	1,060,620	03/08/67	Great Britain			
	AQ	EP 0 544 330 A2	06/02/93	Europe			
	AR	EP 0 519 727 A1	12/23/92	Europe			
	AS	1,537,820	01/10/79	Great Britain			
	AT	EP 0 451 786 A2	10/16/91	Europe			
	AU	EP 0 438 598 A1	07/31/91	Europe			
	AV	EP 0 270 339 B1	06/08/88	Europe			
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
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Examiner <i>SA</i>				Date Considered <i>3/12/02</i>			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



JUN 18 2002

SHEET 3 OF 23

Form PTO 144 (Modified)		ATTY DOCKET NO. 216661US0 CONT	SERIAL NO. 09/049,927
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Pascal ARNAUD, et al.	
		FILING DATE March 30, 1998	GROUP 1625

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>8/18</i>	AA	5,122,519	06/1992	Ritter			
	AB	5,213,716	05/1993	Patel, et al.			
	AC	4,699,780	10/13/87	Jennings, et al.			
	AD	5,358,719	10/25/94	Mellul, et al.			
	AE	4,022,730	05/10/77	Lewis, et al.			
	AF	4,698,359	10/06/87	Niederer, et al.			
	AG	4,935,228	06/19/90	Finkenauer, et al.			
<i>8/18</i>	AH	5,688,831	11/18/97	El-Nokaly, et al.			
	AI	5,356,627	10/18/94	Da Cunha, et al.			
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
<i>8/18</i>	AO	EP 0 497 144 A1	08/05/92	Europe		
	AP	EP 0 547 897 A2	06/23/93	Europe		
	AQ	EP 0 681 830 A1	11/15/95	Europe		
	AR	WO 92/19215	11/12/92	WIPO		
	AS	EP 0 133 964 B1	03/13/85	Europe		
	AT	EP 0 133 963 B1	03/13/85	Europe		
	AU	S62-238212	10/19/87	Japan	Partial Translation	
<i>8/18</i>	AV	H1-283209	11/14/89	Japan	Partial Translation	

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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Examiner <i>S. Tracy</i>	Date Considered <i>8/12/02</i>
*Examiner: Initial if reference is considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

JUN 18 2002

SHEET 4 OF 23

Form PTO 1449
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PATENT AND TRADEMARK OFFICE

PATENT & TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT

ATTY DOCKET NO.
216661US0 CONTSERIAL NO.
09/049,927

APPLICANT

Pascal ARNAUD, et al.

FILING DATE

March 30, 1998

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U.S. PATENT DOCUMENTS

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<i>Not</i>	AO	H4-57894	02/25/92	Japan	Partial Translation	
	AP	H7-48228	02/21/95	Japan	Partial Translation	
	AQ	H3-176411	07/31/91	Japan	Partial Translation	
	AR	S64-79106	03/24/89	Japan	Partial Translation	
	AS	H5-178865	07/20/93	Japan	Partial Translation	
	AT	60-181011	09/14/85	Japan (English Abstract Provided)		X
<i>Not</i>	AU	JP 04342513	1993	Japan (English Abstract Provided)		X
	AV	07-048228	02/21/95	Japan (English Abstract Provided)		X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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Examiner

See Attached

Date Considered

8/12/02

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SHEET 5 OF 23

Form PTO 1449 (Modified)		ATTY DOCKET NO. 216661US0 CONT			SERIAL NO. 09/049,927		
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U.S. PATENT DOCUMENTS							
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Examiner	<i>Se Hnay</i>				Date Considered <i>9/12/02</i>		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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U.S. DEPARTMENT OF COMMERCE
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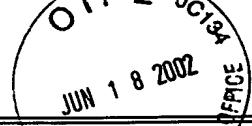
SHEET 6 OF 23

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 216661US0 CONT		SERIAL NO. 09/049,927	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT		Pascal ARNAUD, et al.			
		FILING DATE		March 30, 1998		GROUP	
U.S. PATENT DOCUMENTS							
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SA	AO	JP 01006049	01/10/89	Japan (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	AP	DE 1299788	11/18/60	Germany (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	AQ	DE 1519376	03/27/65	Germany (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	AR	WO 92/09263	06/11/92	WIPO	<input type="checkbox"/>	<input type="checkbox"/>	
	AS	EP 0103995 B1	03/28/84	Europe	<input type="checkbox"/>	<input type="checkbox"/>	
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	AU	JP60219274	11/01/85	Japan (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
SA	AV	PL 126397	09/30/85	Poland (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
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Examiner	<i>In stock</i>			Date Considered <i>8/1/2002</i>			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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SHEET 7 OF 23

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<i>8/14</i>	AO	EP 17119	10/16/80	Europe (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	AP	J01113311	05/02/89	Japan (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	AQ	EP 544,330	06/02/93	Europe (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
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<i>8/14</i>	AV	DE1299788	11/18/60	Germany (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
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Examiner	<i>En Tracy</i>				Date Considered <i>8/14/02</i>		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



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Examiner	<i>Ex. 11</i>			Date Considered	<i>9/12/02</i>
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Examiner	<i>In re Tracy</i>				Date Considered <i>9/12/02</i>		

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SHEET 10 OF 23

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
<i>8/10</i>	AO	JP07179718	12/22/93	Japan (English abstract provided)	<input type="checkbox"/>	X
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	AT	EP 547,897	06/23/93	Europe (English abstract provided)	<input type="checkbox"/>	X
<i>8/10</i>	AU	WO 9504517	02/16/95	WIPO (English abstract provided)	<input type="checkbox"/>	X
	AV	WO 9525503	09/28/95	WIPO (English abstract provided)	<input type="checkbox"/>	X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 216661US0 CONT	SERIAL NO. 09/049,927		
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Pascal ARNAUD, et al.					
		FILING DATE March 30, 1998		GROUP 1625			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
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<i>SM</i>	AO	WO 9617583	06/13/96	WIPO (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	AP	JP 50151940	12/06/75	Japan (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	AQ	JP 85255714	12/17/85	Japan (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	AR	WO 9509598	04/13/95	WIPO (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	AS	JP04091010	08/07/90	Japan (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	AT	DE 1519376	06/14/73	Germany (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
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<i>SM</i>	AV	NE 6817346	1969	Netherlands (English abstract provided)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
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Examiner	<i>See traces</i>				Date Considered <i>9/12/02</i>		

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
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<i>8/11</i>	AO	JP 57131272	08/14/82	Japan (English abstract provided)	<input type="checkbox"/>	X	
	AP	JP 08 73,744	03/19/96	Japan (English abstract provided)	<input type="checkbox"/>	X	
	AQ	JP 08 59,428	03/05/96	Japan (English abstract provided)	<input type="checkbox"/>	X	
	AR	GB 1341855	12/28/73	Great Britain	<input type="checkbox"/>		
	AS	JP 09-151109	06/10/97	Japan (English abstract provided)	<input type="checkbox"/>	X	
	AT	JP 08-026934	01/30/96	Japan (English abstract provided)	<input type="checkbox"/>	X	
	AU	1,140,536	01/22/69	Great Britain	<input type="checkbox"/>		
<i>8/11</i>	AV	EP 0 005 922 A1	12/12/79	Europe	<input type="checkbox"/>		
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Pascal ARNAUD, et al.

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
84	AO JO5262619	03/19/92	Japan (English abstract provided)	<input type="checkbox"/>	X
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SHEET 14 OF 23

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		FILING DATE		March 30, 1998	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)					
<i>84</i>	AAA	Dow Corning®, Product Information for Dow Corning® 556 Cosmetic-Grade Fluid For Cosmetic and Personal Care Products, Data Sheet No. 22-242C-01, August 1986			
	AAB	GE Silicones SF1023 Silicone Additive for Protective Coatings, 1992			
	AAC	Abil Silicones Abil® AV 20-Abil® AV 1000, July 1989			
	AAD	H.F. Fink, <u>Silicone Surfactants, Part IV*</u> : <u>Silicone Surfactants as Paint Additives</u> , <i>Tenside Surf. Det.</i> 28 (1991), pp. 306-312			
	AAE	OrganoSilicon, Product Information Data Sheet, Union Carbide Silicone Fluid PC-20			
	AAF	Adolph Maruszewski, <u>Steps in Developing a Modern Lipstick</u> , <i>American Perfumer and Cosmetics</i> , Vol. 82, March 1967, pp. 37-40			
	AAG	Charles Fox, <u>Gels and Sticks Review and Update</u> , <i>Cosmetics & Toiletries</i> , Vol. 99, November 1984, pp. 19-54			
	AAH	James J. Slack, <u>Development of a Modern Lip Gloss with Lanolin Derivatives</u> , <i>American Cosmetics and Perfumery</i> , Vol. 87, December 1972, pp. 41-42			
	AAI	Steve Goode, <u>Lip Glosses</u> , <i>Cosmetics and Toiletries</i> , Vol. 92, July 1977, pp. 28-38			
	AAJ	<u>Coloured Make-up Preparations</u> , <i>Harry's Cosmeticology</i> , pp. 140-175			
	AAK	C.D. Vaughan, <u>Stick Makeup</u> , <i>Cosmetics and Toiletries</i> , Vol. 92, July 1977, pp. 47-53			
	AAL	G. Van Ham, <u>Lipstick Formula Variations and Lipstick Properties</u> , <i>Cosmetics and Perfumery</i> , Vol. 90, June 1975, pp. 27-34			
	AAM	A.L. Fishbach, <u>Lipsticks—Their Formulation, Manufacture, and Analysis*</u> , <i>Journal of the Society of Cosmetics Chemists</i> , Presented at the May 14, 1954 Meeting, New York City			
	AAN	Julio Russ, <u>Performance Evaluation of Shaded Products</u> , <i>Makeup Documentary/Formulary, Cosmetics & Toiletries</i> , Vol. 96, April 1981, pp. 25-29			
	AAO	<u>Lipstick Packaging</u> , <i>Soap/Cosmetics/Chemical Specialties for February, 1988</i> , pp. 62-63			
	AAP	A.A. Kassem, <u>Evaluation of Synthetic Oily Materials as Bases for Lipsticks, Cleansing Milks, and Foundation Emulsions</u> , <i>Cosmetics and Perfumery</i> , Vol. 90, January 1975, pp. 31-35			
<i>84</i>	AAQ	Mitchell L. Schlossman, <u>Manufacturing Processes for Color Cosmetics</u> , <i>Makeup Documentary, Cosmetics & Toiletries</i> , Vol. 101, April 1986, pp. 95-102			
Examiner <i>Re Hugg</i>			Date Considered <i>3/12/02</i>		

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SHEET 15 OF 23

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8/28	AAA	H. Heinrich, Ph. D., <u>Stability Tests on Lipsticks, Face Powder, and Make-Up Items*</u> , Presented at the may 18, 1951 Meeting, New York City			
	AAB	Shirley Ann DeRagon, <u>Blemish Sticks, Cosmetics and Toiletries</u> , Vol. 92, July 1977, pp. 39-44			
	AAC	O.K. Jacobi, Ph.D., <u>Porositones@ in Cosmetic Products</u> , <u>American Perfumer and Cosmetics</u> , Vol. 82, October 1967, pp. 83-86			
	AAD	<u>Face Powders and Make-Up, Harry's Cosmetics</u> , pp. 111-139 <i>Date not available.</i>			
	AAE	Wendel Dinkel, <u>Processing of Lipsticks, Cosmetics and Toiletries</u> , Vol. 92, February 1977, pp. 30-34			
	AAF	Manoel Carames de B.L.F. de Gouvea, <u>Development of a Lipstick Base</u> , <u>Cosmetics and Toiletries</u> , Vol. 93, January 1978, pp. 15-26			
	AAG	Geoff Finkenauer, <u>Lipstick: History as a Window to the Future</u> , <u>Cosmetics & Toiletries</u> , Vol. 101, April 1986, pp. 49-55			
	AAH	C. Scrofani, <u>Solid Water-in-Oil Emulsions for Lip Products</u> , <u>Cosmetics & Toiletries</u> , Vol. 108, July 1993, pp. 65-68			
	AAI	<u>Cosmetic Stick Comprising Water-in-Oil Emulsion (Britsh Patent Specification 1442426)</u> , <u>Cosmetics and Toiletries</u> , Vol. 92, July 1977, pp. 69-71			
	AAJ	D. Le Botlan, <u>Determination Du Rapport Solide-Liquide Par Resonance Magnetique Nucleaire Basse Resolution: Etats De L'Eau Dans Une Matiere Grasse Laitiere</u> <i>Date not available.</i>			
	AAK	<u>Microcrystalline Waxes Polywax® Polyethylenes Vybar® Polymers Modified Hydrocarbon Waxes Chemically Reacted Waxes for Product and Process Improvement. New-Product Development. Solutions to Specific Problems in Materials Handling and Characteristics Improvement</u> , Bareco <i>Date not available.</i>			
	AAL	<u>Application Data, Makeup Cake</u> , Release No. BP 100.1, Bareco, June 1982			
	AAM	<u>Application Data, Stick Antiperspirant</u> Release No. 500.15, Bareco, January 1982			
	AAN	<u>Product Data, Polywax® Polyethylenes</u> , Release No. BP100, November 1981			
	AAO	<u>Synthetic Hydrocarbon Waxes Industrial Waxes</u> , pp. 377-382			
	AAP	<u>Product Data Release No. BP100.2</u> , Bareco, June 1982			
8/28	AAQ	<u>Vybar® 103 & 260, Technical Data Release No. 500.2</u> <i>Date not available.</i>			
Examiner <i>R. drug</i>			Date Considered <i>9/12/02</i>		

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		FILING DATE		March 30, 1998	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)					
2/18	AAA	Siletk® L, <u>Technical Data</u> , Technical Release No. 600.8 <i>Date not available</i>			
	AAB	Vybar® 825 Polymer, <u>Technical Data</u> , Technical Release No. 500.3 <i>Date not available</i>			
	AAC	Langer & Co., <u>Properties</u> , Technical Data, Application, November 1992			
	AAD	<u>Petrolite® CH-7, CH-12, Development Application Data</u> <i>Date not available</i>			
	AAE	Langer & Co. <u>Lanco-Wax-Guide</u> , September 1990			
	AAF	A. Dooms-Goossens, Ph.D., <u>Contact Sensitivity to Nonoxynols as a Cause of Intolerance to Antiseptic Preparations</u> , Vol. 21, Number 4, Part 1, October 1989			
	AAG	Langer & Co., <u>Lanco-BEIT Pigment Concentrates</u> , October 1989			
	AAH	Fumiyoji Yokoyama, <u>Low Temperature Form of Urea-Polyethylene Complex</u> , <u>Journal of Polymer Science: Polymer Letters Edition</u> , Vol. 19, pp. 91-94 (1981)			
	AAI	Kazuaki Suehiro, <u>Structural Studies on Molecular Complexes of Polyethers. 1 Urea-Ethylene Oxide Oligomer Complexes</u> , <u>Makromol. Chem.</u> , 184, pp. 669-674 (1983)			
	AAJ	Allied Signal <u>Actol™ Resin Modifiers</u> , <u>Product Safety Data Sheet</u> <i>Date not available</i>			
	AAK	Allied Signal <u>Actol™ Resin Modifiers</u> , <u>Technical Data for Coatings CTG-013, A-C Performance Additives</u> <i>Date not available</i>			
	AAL	Allied Signal <u>Cover Every Surface Without Cracking, Peeling or Flaking</u> , A-C Performance Additives <i>Date not available</i>			
	AAM	A-C Polyethylenes <u>Gels of Ethylene Copolymers</u> <i>Date not available</i>			
	AAN	Allied Chemical <u>A-C Polyethylenes & Copolymers for Cosmetics</u> , <u>Technical Data</u> <i>Date not available</i>			
	AAO	Allied Chemical <u>A-C Polyethylene in Cosmetic Application</u> , <u>Technical Data</u> <i>Date not available</i>			
	AAP	Soap/Cosmetics/Ehcmical Specialties for February, 1976 <u>Polyethylene in Cosmetic Gels</u> , pp. 56-58			
2/18	AAQ	H. Leszczynska-Bakal, <u>Physicochemical Properties of Absorptive and Emulsive Ointment Bases with Polyethylene Gel</u> , Krakow 1970			
Examiner <u>Si-Hwang</u>			Date Considered <u>2/18/02</u>		

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		FILING DATE March 30, 1998	GROUP 1625
LIST OF REFERENCES CITED BY APPLICANT			
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)			
<i>AA</i>	AAA	Allied Chemical A-C Polyethylene & Copolymers in Personal Care Products, <i>Technical Data</i>	
	AAB	Allied Chemical, <i>Creams and Lotions Containing A-C Polyethylene for the Personal Care Industry, A-C Polyethylene & Copolymers, Technical Data</i>	
	AAC	Allied Chemical, <i>A-C Polyethylene 1702, Technical Data Bulletin</i>	
	AAD	Allied Chemical, <i>Typical Properties of Polymist® Polyethylene Fine Powders, A-C Polyethylene & Copolymers, Technical Data</i>	
	AAE	Allied Signal, <i>Typical Properties/Particle Characteristics for A-C® Polyethylenes, A-C® Copolymers, and Acumist® Micronized Polyethylene Powders, A-C Polyethylenes, Technical Data, Gen 010</i>	
	AAF	Allied Corporation, <i>Ingredients for Success: A-C Polyethylenes and Copolymers for Personal Care Products</i>	
	AAG	Allied Corporation, <i>Typical Properties of A-C® Polyethylenes and Copolymers, A-C Polyethylenes, Gen-001</i>	
	AAH	Allied Signal, <i>L'utilisation des A-C® Polyéthylènes et de leurs copolymères en émulsions</i>	
	AAI	Allied Corporation, <i>Why A-C Polyethylenes and Copolymers?, Typical Advantages of A-C Polyethylenes and Copolymers in Personal Care Products, Brochure</i>	
	AAJ	Allied Corporation, <i>A-C Polyethylenes, Gels of Ethylene Copolymers</i>	
	AAK	Allied Corporation, <i>A-C Polyethylenes, A-C® Polyethylene & Copolymers as Additives in Antiperspirant Sticks, Technical Data</i>	
	AAL	Allied Signal, <i>Aclyn® Ionomer Adhesion to Substrates, Aclyn® Low Molecular Weight Ionomers</i>	
	AAM	Allied Signal, <i>Aclyn® Ionomer Dispersions for Viscosity Control, Aclyn® Low Molecular Weight Ionomers</i>	
	AAN	Allied Signal, <i>Typical Properties of Aclyn® Low Molecular Weight Ionomers, A-C Polyethylenes</i>	
	AAO	D.F. Danneels, <i>Low Molecular Weight Ionomers as Processing Aids and Additives, May 10, 1988</i>	
	AAP	Allied Signal, <i>Acter® 1450 for Polish Applications, Technical Data, Provisional/Experimental</i>	
<i>AA</i>	AAQ	Allied Signal, <i>A-C® Polyethylenes, Personal Care Products Prototype Formulations</i>	
Examiner <i>2 - three</i>		Date Considered <i>3/12/02</i>	

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SHEET 18 OF 23

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8/14	AAA	Allied Corporation, <u>Typical Properties of A-C® Polyethylenes and Copolymers</u> , <i>Technical Data</i>	
	AAB	<u>Fabrication With Powdered Polyethylene</u> , Rubber and Plastics Age, November, 1963, pp. 1325-1327	
	AAC	S. Garry Howell, <u>Microfine Polyolefin Powders</u> , <i>Technical Section</i> , SPE Journal, June 1965, pp. 583-586	
	AAD	Alfred B. Zimmerman, <u>Powdered Polyethylene Applications and Techniques</u> , Plastics Technology, Vol. 8, Number 7, July 1962, pp. 26-29	
	AAE	Asao Harashima, <u>Functions of Silicones and Their Application to Lipsticks</u> , Special Editing/Current Trends of Research and Development of Lip Products	
	AAF	Paul Thau, <u>A New Procedure for the Preparation of Polyethylene-Mineral Oil Gels</u> , Reprinted from Journal of the Society of Cosmetics Chemists, pp. 359-363 (1965)	
	AAG	I. B. Chang, <u>Search for the Perfect Gel</u> , Cosmetics and Toiletries, Vol. 92, July 1977	
	AAH	"Phenyltrimethicone," <u>International Cosmetic Ingredient Dictionary and Handbook</u> , Seventh Edition, 1997 Vol. 1, p. 1033	
	AAI	"Synthetic Wax", <u>CTFA Cosmetic Ingredient Handbook</u> , Second Edition, p. 439	
	AAJ	Albin H. Warth, <u>The Chemistry and Technology of Waxes</u> , 1947, pp. 7-8	
	AAK	Allied Chemical, <u>Typical Properties of A-C Polyethylenes and Copolymers</u> , <i>Technical Data</i> , G1	
	AAL	<u>Cosmetic Raw Materials Standard</u> , Revised Edition, Yakuji Nippo Co., 1999, p. 359	
	AAM	<u>Technical Data Polywax Polyethylene</u> , Toyo Petrolite Co., Ltd.	
	AAN	<u>Shin Etsu Silicone Oil</u> , Shin Etsu Chemical Industry Co.	
	AAO	Noxell Corp. - A Procter & Gamble Co. - Cover Girl - Remarkable Lipcolor SPF 15	
8/14	AAP	Chronologix, Line Minimizing Make-Up, Composition, Coty, December 1992	
	AAQ		
Examiner	<i>See Hung</i>		Date Considered <i>8/14/02</i>

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SHEET 19 OF 23

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<i>8/8</i>	AAA	Vital Perfection - Soin Protecteur Des Levres, Composition, October 1992, Shiseido			
	AAB	Advanced Performance Lipstick, Composition, September 1993, Shiseido			
	AAC	Creme Compacte Bronzante SPF 4, Two Way Cake, Composition, May 1993, Shiseido			
	AAD	SPF 35 Sun Block Stick, Composition, 1993, Shiseido			
	AAE	Makeup Sticks, Cosmetics & Toiletries, Vol. 108, July 1993			
	AAF	Le Crayon Levres (Precision Lip Definer), Composition, Chanel, June 1992			
	AAG	Shiseido - SPF 35 Sun Block Stick, Water Resistant, Cosmetic Research 1993			
	AAH	Micro Finish - Fond De Teint, Composition, Juvena, November 1992			
	AAI	Almay Inc. - Luxury Finish Loose Powder, Cosmetic Research 1992			
	AAJ	Almay Inc. - Oil Blotting Pressed Powder, Cosmetic Research 1992			
	AAK	New Essentials Ltd. - New Essentials, Cosmetic Research 1992			
	AAL	Pure Finish Loose Powder, Cosmetic Research, 1992			
	AAM	Pure Finish Pressed Powder, Cosmetic Research, 1992			
	AAN	Creme Compacte Bronzante SPF 4, Composition, Shiseido, France, 05/1993			
	AAO	Remarkable Lipcolor, Composition, Cover Girl, April 1992			
	AAP	Endless Kiss, Composition, Avon, France 02/1993			
<i>8/8</i>	AAQ	Creme Lipstick SPF 15, Composition, Cover Girl, Continuous Color, 03/1993			
Examiner <i>Sh. Hwang</i>			Date Considered <i>9/12/02</i>		

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<i>SAH</i>	AAA	Advanced Performance Lipstick, Composition, Shiseido, September 1993		
	AAB	Lipstick, Composition, Shiseido, March 1993		
	AAC	Lip Concentrate, Composition, Almay, December 1991		
	AAD	Blistex Lip Balm, SPF 10, Composition, Blistex, January 1992		
	AAE	Vital Defense Lip Treatment, Composition, Vital Defense, 12/1991		
	AAF	Labello Kamille, Composition, Labello, September 1992		
	AAG	Labello Rose, Composition, Labello, France 09/1992		
	AAH	Labello Sport, Composition, Labello, France, 09/1992		
	AAI	Labello Med, Labello, Composition, France, 09/1992		
	AAJ	Chapstick Lip Balm, Cherry, Composition, Chapstick, 12/1992		
	AAK	Chapstick Lip Balm, Medicated, Composition, Chapstick, July 1992		
	AAL	Chapstick Lip Balm, Composition, Chapstick, 12/1992		
	AAM	Vital Perfection - Soin Protecteur Des Levres, Composition, Shiseido, October 1992		
	AAN	Rouge Eclat-Rouge A Levres, Composition, Clarins, March 1994		
	AAO	Rouge Eclat - Brillant A Levres, Composition, Clarins, March 1994		
	AAP			
	AAQ			
	Examiner <i>Re-Huay</i>			Date Considered <i>9/10/02</i>

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<p>Form PTO 1449 (Modified)</p> <p style="text-align: center;">JUN 18 2002 JC134</p> <p style="text-align: center;">U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p style="text-align: center;">PATENT & TRADEMARK</p> <p style="text-align: center;">LIST OF REFERENCES CITED BY APPLICANT</p>		ATTY DOCKET NO. 216661US0 CONT		SERIAL NO. 09/049,927	
		APPLICANT Pascal ARNAUD, et al.			
		FILING DATE March 30, 1998		GROUP 1625	
		OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)			
<p style="text-align: center;"><i>8/18</i></p>	AAA	Les Tubes Du Siecle, Cosmetic News, Le Périple			
	AAB	Deutsche Atochem Werke, Lieferprogramm: Textile Schmelzklebstoffe			
	AAC	OrganoSilicon Product Information, Les Silicones Union Carbide Pour L'Industrie Cosmetique, 2/91			
	AAD	Wacker, Huiles Phényl-Méthylsilicones, Munich, November 1980, pp. 1-11			
	AAE	Wacker Silicone, Belsil Phenylmethicone, München, January 1990			
	AAF	Baysilone-Öle P, Polymere Methylphenylsiloxane, Bayer, pp. 1-10			
	AAG	New Product Information, Dow Corning, Traduction de la fiche technique de Toray - Dow Corning			
	AAH	Rouge À Lèvres			
	AAI	Historie, Mémoire de la Beauté, Parfums, Cosmétiques, Arômes, No. 79 - Février-Mars 1988, pp. 93-94			
	AAJ	1960/1969, La Choucroute Et La Banane, Cosmétique News, No. Special, 29/06/90			
	AAK	Historique, De 1890, Les Femmes, Cosmétique News, 29/06/90			
	AAL	100 Ans De Recherche Cosmetique, Cosmétique News, 29 Juin 1990			
	AAM	Le Diable Aux Levres, Depeche Mode - Analyse De Presse Mars 1994			
	AAN	Le Dossier Rouge à Lèvres, Les Nouvelles Esthetiques, November 1984			
	AAO	Les Nouvelles Esthetiques, Beauté, souci éternel des femmes, Fevrier 1985, pp. 69-73			
	AAP	Les soins de beauté à la veille de la révolution, Nouvelles Esthétiques, Avril 1989, pp. 60-68			
	<i>8/19</i>	AAQ	Japanese-language article, Fragrance Journal 1992, pp. 50-56		
	Examiner <i>Si Huay</i>		Date Considered <i>9/12/02</i>		

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** Date not available*

<div style="text-align: right; margin-bottom: 5px;"> Form PTO 1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE </div> <div style="text-align: center; margin-top: 5px;"> LIST OF REFERENCES CITED BY APPLICANT </div>		ATTY DOCKET NO. 216661US0 CONT		SERIAL NO. 09/049,927	
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8/18	AAA	Universite De Clermont 1, These pour le Diplôme d'Etat de Docteur en Pharmacie, Les Rouges à Lèvres Preparation et Controles			
	AAB	Michael S. Starch, <u>Silicones in Skin Care Products</u>			
	AAC	<u>Eats and Waxes</u> , <i>Chemical Abstracts</i> , Vol. 82, 1975, p. 178			
	AAD	CA Selects: <u>Water-Based Coatings</u> , Issue 7, 1993, p. 5			
	AAE	CA Selects: <u>Coatings, Inks & Related Products</u> , Issue 11, 1993, p. 12			
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	AAG	<u>Nouveaux Products</u> , EuroCoat 11, 1993			
	AAH	CA Selects: <u>Formulation Chemistry</u> , Issue 18, 1990, p. 4			
	AAI	Goldschmidt Produits Chimiques, Abil B 8853			
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	AAK	<u>Abil® Silicones</u> , <u>Abil® AV 8853</u> , Tego Cosmetics			
	AAL	<u>Huiles De Silicones Diverses</u> , <u>Silbione Huile 70 641 V 200</u> , Cosmetologie, May 1985			
	AAM	<u>Bayer-Silicone</u> , <u>Baysilone H 250 - Additif Pour Peintures et Vernis</u>			
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8/18	AAP	<u>Water-Based Coatings</u> , CA: Selects: <u>Water-Based Coatings</u> , Issue 20, 1993, p. 1			
	AAQ				
Examiner <i>Se thang</i>		Date Considered <i>9/1/2022</i>			

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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)					
<i>8/8</i>	AAA	Translation of Decision of Opposition Board Regarding Japanese Patent No. 2960658			
	AAB	Notice of Reasons Japanese Patent was Revoked, pp. 1-29			
	AAC	Revlon's Reply to L'Oreal's Request for Appeal in Opposition of European Patent Application No. 95400156.6 filed August 8, 2000			
	AAD	Revlon's Opposition Brief Regarding European Patent Application No. 95400156.6 filed March 11, 1998			
	AAE	L'Oreal's Initial Response to Revlon's Opposition Brief Regarding European Patent Application No. 95400156.6			
	AAF	L'Oreal's Supplemental Response to Revlon's Opposition Brief Regarding European Patent Application No. 95400156.6			
	AAG	Transcript of Oral Proceedings before the European Patent Office dated August 3, 1999 (Original and Translation)			
	AAH	Decision of the European Patent Office Regarding Patent in Application No. 95400156.6 dated August 3, 1999 (Original and English Translation)			
<i>8/8</i>	AAI	L'Oreal's Appeal Brief Regarding European Patent Application No. 95400156.6			
	AAJ				
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Examiner	<i>See through</i>		Date Considered <i>9/12/02</i>		

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